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01-08-02

PTO/SB/05 (03-01)

Approved through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. **R0079C-REG**

First Inventor **Becker, et al**

Title **QUINAZOLONE DERIVATIVES AS ALPHA 1A/B
ADRENERGIC RECEPTOR ANTAGONISTS**

Express Mail Label No. **ET648477127US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **98**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ☐]
5. Oath or Declaration [Total Pages **3**]
 - a. ☒ Newly executed (copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____ /

Prior application information: Examiner _____

Group / Art Unit:

● Claim for Benefit of Provisional Application(s):

This application claims the benefit under Title 35 U.S.C. 119(e) of U.S. Provisional Application No. 60/259,337, filed January 2, 2001, and 60/325,267, filed September 27, 2001.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name **Rohan Peries**
ROCHE BIOSCIENCE

PATENT & TRADEMARK OFFICE

Address **Patent Law Dept., M/S A2-250**
3401 Hillview Avenue

City	Palo Alto	State	CA	Zip Code	94304
Country	U.S.A.	Telephone	650/ 354-7540	Fax	650/ 855-5322

Name (Print/Type)	GLORIA PFISTER	Registration No. (Attorney/Agent)	45,642
Signature		Date	01/02/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. Doc. # 115826

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,082.00

Complete if Known

Application Number	New Application
Filing Date	
First Named Inventor	Becker, et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	R0079C-REG

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees, to charge any additional fees that may be required, or to credit any overpayments to:

Deposit
Account
Number

18-1700

Deposit
Account
NameRoche Bioscience
3401 Hillview Avenue
Palo Alto, CA 94304

- ☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740			Utility filing fee	740.00
106	330			Design filing fee	
107	510			Plant filing fee	
108	740			Reissue filing fee	
114	160			Provisional filing fee	

SUBTOTAL (1)

(\$) 740

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
39	-20 ** = 19	X 18 =	342
Independent Claims	1	-3 ** = 0	X 84 = 0
Multiple Dependent		X	= 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18			Claims in excess of 20
102	84			Independent claims in excess of 3
104	280			Multiple dependent claim, if not paid
109	84			** Reissue independent claims over original patent
110	18			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 342.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130		Surcharge - late filing fee or oath	
127	50		Surcharge - late provisional filing fee or cover sheet.	
139	130		Non-English specification	
147	2,520		For filing a request for reexamination	
112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*		Requesting publication of SIR after Examiner action	
115	110		Extension for reply within first month	
116	400		Extension for reply within second month	
117	920		Extension for reply within third month	
118	1,440		Extension for reply within fourth month	
128	1,960		Extension for reply within fifth month	
119	320		Notice of Appeal	
120	320		Filing a brief in support of an appeal	
121	280		Request for oral hearing	
138	1,510		Petition to institute a public use proceeding	
140	110		Petition to revive - unavoidable	
141	1,280		Petition to revive - unintentional	
142	1,280		Utility issue fee (or reissue)	
143	460		Design issue fee	
144	620		Plant issue fee	
122	130		Petitions to the Commissioner	
123	50		Processing fee under 37 CFR 1.17 (q)	
126	180		Submission of Information Disclosure Stmnt	
581	40		Recording each patent assignment per property (times number of properties)	
146	740		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740		For each additional invention to be examined (37 CFR § 1.129(b))	
179	740		Request for Continued Examination (RCE)	
169	900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 0

SUBMITTED BY Complete (if applicable)

Name (Print/Type)	Gloria Pfister	Registration No. Attorney/Agent)	45,642	Telephone	650/ 354-7540
Signature	Date 01/02/02				